

# Relationships between bridging oxygen $^{17}\text{O}$ quadrupolar coupling parameters and structure in alkali silicates

Karl E. Vermillion, Pierre Florian,<sup>a)</sup> and Philip J. Grandinetti<sup>b)</sup>  
*Department of Chemistry, The Ohio State University, Columbus, Ohio 43210-1173*

(Received 1 December 1997; accepted 27 January 1998)

We have performed *ab initio* calculations on the model cluster  $(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3$  with lithium or sodium cations coordinated to the central oxygen in order to refine the relationships between  $^{17}\text{O}$  quadrupolar coupling parameters and the local structure around the bridging oxygen in the case of alkali silicates. We have also used a point charge model to derive approximate expressions to describe the dependence of the  $^{17}\text{O}$  electric field gradient tensor on the orientation of the alkali cation-bridging oxygen internuclear vector(s). From these calculations we predict that the previously established trend in  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , with Si–O–Si angle is systematically shifted to lower magnitudes with increasing number and field strength of coordinating alkali cations and that  $C_q$  will be relatively insensitive to variations in the alkali cation-bridging oxygen internuclear vector orientation. The previously established trend in  $^{17}\text{O}$  quadrupolar coupling asymmetry parameter,  $\eta_q$ , with Si–O–Si angle is systematically shifted to higher values by the presence of one coordinating alkali cation, and only slightly shifted to higher values by the presence of two coordinating alkali cations. As with the quadrupolar coupling constant, the magnitude of the shift in asymmetry parameter increases with increasing field strength of the coordinating alkali cation(s). In contrast to  $C_q$ ,  $\eta_q$  is additionally dependent on variations in the alkali cation-bridging oxygen internuclear vector orientation. With two or more coordinating alkali cations, however, the magnitude of these variations are reduced, and for certain alkali cation configurations are eliminated. Finally, the parametric dependence of  $C_q$  on  $\eta_q$  via the Si–O–Si angle can be used to distinguish between bridging oxygen environments with differing number of coordinating alkali cations. © 1998 American Institute of Physics. [S0021-9606(98)01217-3]

## I. INTRODUCTION

The potential of oxygen-17 solid-state nuclear magnetic resonance (NMR) as a probe of structure in solids has been greatly enhanced with the recent development of solid-state NMR techniques such as double rotation (DOR),<sup>1</sup> dynamic-angle spinning (DAS),<sup>2–5</sup> and multiple-quantum magic-angle spinning (MQ-MAS)<sup>6</sup> which provide high-resolution NMR spectra of quadrupolar nuclei in solids. Because of the historical difficulties associated with doing  $^{17}\text{O}$  solid-state NMR, however, relatively little is known of the relationships between  $^{17}\text{O}$  NMR parameters and structure when compared to the more commonly used spin 1/2 probes such as  $^{13}\text{C}$ ,  $^{29}\text{Si}$ , or  $^{31}\text{P}$ . Tossell and co-workers<sup>7–9</sup> have shown that *ab initio* quantum chemical calculations can be a powerful tool for researchers trying to connect measured  $^{17}\text{O}$  NMR parameters to structural features. Indeed, the Tossell group's *ab initio* predicted trends<sup>7–9</sup> in  $^{17}\text{O}$  quadrupolar coupling parameters with Si–O–Si bond angle have been experimentally verified using  $^{17}\text{O}$  DAS,<sup>10</sup> and these theoretically derived trends have been indispensable in quantifying structural distributions in silicate glasses.<sup>11</sup>

As we have applied  $^{17}\text{O}$  DAS to an increasing number of silicate systems we have noticed that the trends in  $^{17}\text{O}$  qua-

drupolar coupling constant with Si–O–Si angle are slightly dependent on the presence of neighboring network modifying cations. This has been recently noted by Maekawa and co-workers<sup>12</sup> who had to adjust previous empirical relationships determined from silica polymorphs studies to account for their  $^{17}\text{O}$  results on alkali silicates. To further understand this affect we have used *ab initio* methods to investigate how variations in the bridging oxygen  $^{17}\text{O}$  quadrupolar coupling parameters in silicate clusters as a function of bridging oxygen angle are affected by the presence of one and two coordinating network modifying alkali cations, such as lithium or sodium. These results should provide insight to future researchers using  $^{17}\text{O}$  solid-state NMR to study the structure of glasses and as well as other silicate-based materials such as zeolites.

## II. THEORY AND CALCULATIONS

The quadrupolar Hamiltonian can be written in the form

$$H_Q = \sqrt{\frac{3}{2}} \frac{eQ}{I(2I-1)} \sum_m (-1)^m R_{2,m} T_{2,-m}, \quad (1)$$

where  $I$  is the nuclear spin,  $Q$  is the nuclear electric quadrupole moment, and  $T_{2,m}$  are irreducible spherical tensor operators describing the nuclear spin state. The electric field gradient (efg) due to surrounding electrons and nuclei is de-

<sup>a)</sup>Current address: CNRS-CRPH, 1D Av. de la Recherche Scientifique, 45071 Orléans Cedex 2, France.

<sup>b)</sup>Author to whom correspondence should be addressed.

scribed by the irreducible spherical tensor operators  $R_{2,m}$ , and can be written as the sum over all electrons and nuclei surrounding the nucleus of interest, that is

$$R_{2,m} = \sum_{\text{all electrons}} E_{2,m} + \sum_{\text{all nuclei}} N_{2,m}, \quad (2)$$

where

$$E_{2,m} = -e \sqrt{\frac{4\pi}{5}} \frac{1}{r_e^3} Y_{2,m}(\theta_e, \phi_e), \quad (3)$$

$$N_{2,m} = Z_n e \sqrt{\frac{4\pi}{5}} \frac{1}{r_n^3} Y_{2,m}(\theta_n, \phi_n), \quad (4)$$

and  $r_e$ ,  $\theta_e$ ,  $\phi_e$  and  $r_n$ ,  $\theta_n$ ,  $\phi_n$  describe the distance and orientation of the surrounding electrons and nuclei, respectively, with respect to the nucleus of interest. When written in its principal axis system (PAS) the expectation value of the efg is related to the quadrupolar coupling constant and asymmetry parameter according to

$$C_q = 2 \frac{eQ}{h} \langle \rho_{2,0} \rangle \quad \text{and} \quad \eta_q C_q = 2 \sqrt{6} \frac{eQ}{h} \langle \rho_{2,\pm 2} \rangle, \quad (5)$$

where  $\langle \rho_{2,m} \rangle$  is the expectation value of the irreducible spherical tensor elements of the efg in its PAS.<sup>13</sup> The expectation value for  $R_{2,m}$  is calculated using Eqs. (2)–(4) and the wavefunction for the system.

### A. *Ab initio* calculations

*Ab initio* calculations were performed on a Silicon Graphics PowerIndigo-2 workstation using GAUSSIAN 94 (Ref. 14) at a restricted Hartree–Fock level with a 6-31+G(d) basis set. GAUSSIAN 94 calculates the traceless efg tensor and outputs its cartesian tensor elements. These calculated efg tensor elements are related to the quadrupolar coupling constant,  $C_q$ , and quadrupolar coupling asymmetry parameter,  $\eta_q$ , according to

$$C_q = e^2 Q \langle q_{zz} \rangle / h, \quad \text{and} \quad \eta_q = \frac{\langle q_{xx} \rangle - \langle q_{yy} \rangle}{\langle q_{zz} \rangle}, \quad (6)$$

where  $e \langle q_{xx} \rangle$ ,  $e \langle q_{yy} \rangle$ , and  $e \langle q_{zz} \rangle$  are the principal components of the electric field gradient tensor defined such that

$$|\langle q_{zz} \rangle| > |\langle q_{yy} \rangle| > |\langle q_{xx} \rangle|. \quad (7)$$

Inverting Eq. (6) we obtain

$$\begin{aligned} \frac{e^2 Q}{h} \langle q_{xx} \rangle &= -\frac{1}{2} C_q (1 - \eta_q), \\ \frac{e^2 Q}{h} \langle q_{yy} \rangle &= -\frac{1}{2} C_q (1 + \eta_q), \\ \frac{e^2 Q}{h} \langle q_{zz} \rangle &= C_q. \end{aligned} \quad (8)$$

For  $^{17}\text{O}$  a value of  $e^2 Q/h = -6.11$  MHz a.u.<sup>3</sup> was used to convert the  $q_{zz}$  output from Gaussian into the  $^{17}\text{O}$  quadrupolar coupling constant. The sign of the quadrupolar coupling constant  $C_q$  was determined to be negative in all calculated

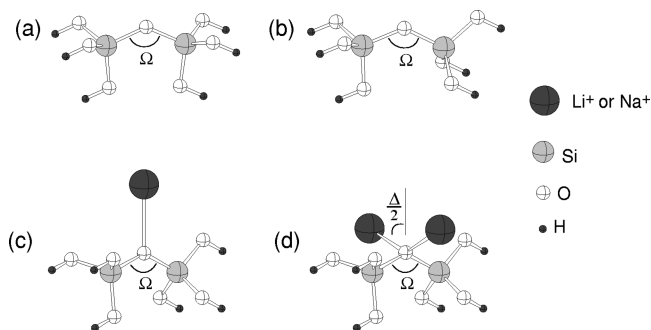


FIG. 1. Four molecular geometries used to investigate the effect of coordinating network modifying cations such as  $\text{Li}^+$  and  $\text{Na}^+$  near the bridging oxygen on its  $^{17}\text{O}$  quadrupolar coupling parameters. (a) Eclipsed configuration, (b) staggered configuration, (c) staggered configuration with one modifier cation in the plane of the Si–O–Si angle and in the plane that bisects the Si–O–Si angle, and (d) staggered configuration with two modifier cations in the plane that bisects the Si–O–Si angle and each at an angle  $\pm \Delta/2$ , respectively, away from the plane of the Si–O–Si angle.

cases. Generally it is more difficult to measure the sign of  $C_q$  than its magnitude, therefore only  $|C_q|$  is often reported in the literature.

The three model clusters chosen to represent the bridging oxygen environment were (1)  $(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3$ , (2)  $\text{M}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]$ , and (3)  $\text{M}_2[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]$  where  $\text{M} = \text{Li}^+$  or  $\text{Na}^+$ . See Fig. 1 for a graphical representation of the various clusters. These clusters were designed to mimic fragments commonly observed in a number of network modified crystalline alkali silicates. Other alkali were not investigated due to limitations of our 6-31+G(d) basis set.

### B. Point charge model

If as a first approximation the modifier cations are treated as point charges then the efg at the bridging oxygen should vary according to

$$\begin{aligned} \langle R_{2,m}(\Omega) \rangle &= \langle \rho_{2,m}^{(\infty)}(\Omega) \rangle \\ &+ (1 - \gamma_\infty) \sum_j \frac{Z_j e}{d_j^3} \sqrt{\frac{4\pi}{5}} Y_{2,m}(\theta_j, \phi_j), \end{aligned} \quad (9)$$

where  $\rho_{2,m}^{(\infty)}(\Omega)$  are the efg tensor elements of the bridging oxygen in the absence of the modifier cation,  $\Omega$  is the Si–O–Si angle of the cluster,  $\gamma_\infty$  is the Sternheimer anti-shielding factor for the bridging oxygen,  $Z_j$  is the modifier cation charge,  $d_j$  is the distance between each alkali cation and the bridging oxygen, and  $(\theta_j, \phi_j)$  are the angles between each oxygen–cation internuclear vector and the bridging oxygen efg PAS in the absence (i.e.,  $d = \infty$ ) of the modifier cation (see Fig. 2).

The Sternheimer anti-shielding factor  $\gamma_\infty$  takes into account the efg induced near the bridging oxygen when the wavefunction of the cluster molecule is perturbed by a distant point charge(s).<sup>15,16</sup> This induced efg is in addition to the efg produced by the point charge itself and is predicted to be proportional to the efg produced by the point charge. While the Sternheimer anti-shielding factor is predicted in the context of the spherically symmetric electron distribution of an

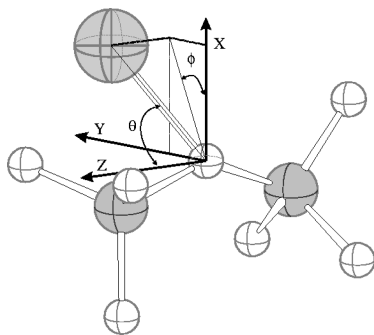


FIG. 2. Orientation of the coordinating alkali cation with respect to the original (i.e.,  $d = \infty$ ) bridging oxygen  $^{17}\text{O}$  efg principal axis system.

atom or monoatomic ion the same effect would still be present in the asymmetric electron distribution of a molecule. In the molecular case, however, we cannot expect the anti-shielding factor on an atom in the molecule to be independent of either molecular configuration or the orientation of the external point charge(s) with respect to the molecule. Exploring this configuration and orientation dependence is beyond the scope of this article and its behavior is not necessarily pertinent to our discussion and conclusions. Nonetheless, we include a scalar Sternheimer anti-shielding factor in our point charge model as an adjustable parameter necessary to achieve agreement with our *ab initio* predicted results.

In all of our *ab initio* calculations except one, the  $z$ -axis of efg PAS remains the same as for  $\rho_{2,m}^{(\infty)}(\Omega)$ . Thus as a first approximation we neglect the  $R_{2,\pm 1}(\Omega)$  tensor elements of Eq. (9) for small deviations of  $\theta$  away from  $90^\circ$ . Assuming also that the efg PAS  $z$ -axis assignment is unchanged by the presence of external point charges we define

$$C_q(\Omega) \approx 2 \frac{eQ}{h} \langle R_{2,0}(\Omega) \rangle. \quad (10)$$

We cannot assume, however, that the orientation of the  $x$ - and  $y$ -axes of the efg PAS remains the same as for  $\rho_{2,m}^{(\infty)}(\Omega)$ , or even that the handedness of the coordinate system remains the same. Thus we assume that the final efg

tensor will be block diagonal in the original efg PAS, and after diagonalizing this block-diagonal efg tensor we obtain

$$\eta_q C_q = \sqrt{6} \frac{eQ}{h} \sqrt{(\langle R_{2,2} \rangle + \langle R_{2,-2} \rangle)^2 - (\langle R_{2,-2} \rangle - \langle R_{2,2} \rangle)^2}, \quad (11)$$

and

$$\tan 2\alpha = i \cdot \frac{\langle R_{2,-2} \rangle - \langle R_{2,2} \rangle}{\langle R_{2,2} \rangle + \langle R_{2,-2} \rangle}, \quad (12)$$

where  $\alpha$  is the rotation in the original efg PAS about the  $z$ -axis from the  $x$ -axis to the new efg PAS  $x$ -axis assuming there were no changes in the handedness of the coordinate system. Changes in the handedness occur when  $|\langle q_{xx} \rangle| > |\langle q_{yy} \rangle|$ . This can be determined by substituting the results of Eqs. (10) and (11) into Eq. (8). In this situation, the efg PAS axes system is redefined, and, if necessary, the  $\eta_q$  value obtained from Eq. (11) is redefined as positive.

While one might expect that the approximations leading to Eqs. (10)-(12) would breakdown on close approach where substantial orbital interactions between alkali and bridging oxygen occur, we shall see that these expressions can still provide a qualitative and a certain degree of quantitative understanding of the trends we observe.

### III. RESULTS AND DISCUSSION

#### A. The $(\text{OH})_3\text{Si-O-Si}(\text{OH})_3$ cluster

For the eclipsed and staggered configurations and a fixed  $\angle \text{Si-O-Si}$ , the  $d(\text{Si-O})$  and  $d(\text{O-H})$  distances (identical for all O, Si and H) were optimized with an STO-3G basis set. These results then served as the input for a final optimization with a 6-31+G(d) basis set. The final parameters as a function of  $\angle \text{Si-O-Si}$  are given in Table I.

The optimized geometry of the  $(\text{OH})_3\text{Si-O-Si}(\text{OH})_3$  molecule shows a tendency to decrease the Si-O distance as  $\angle \text{Si-O-Si}$  increased, which is consistent with what has been observed in crystalline silicates.<sup>17</sup> At high Si-O-Si angles, however, the calculated energy differences are quite small and susceptible to basis set effects. Therefore, one

TABLE I.  $^{17}\text{O}$  quadrupolar coupling parameters, distances, and relative energies obtained from *ab initio* calculations on the  $(\text{OH})_3\text{Si-O-Si}(\text{OH})_3$  molecule in staggered and eclipsed configurations. The  $d(\text{O-H})$  distance remained constant at  $0.949 \text{ \AA}$  at all Si-O-Si angles. The euler angles  $\alpha_q$ ,  $\beta_q$ , and  $\gamma_q$  give the orientation of the efg PAS with respect to a molecular frame with the  $x$ - and  $z$ -axes lying in the plane of the Si-O-Si angle with the  $z$ -axis perpendicular to the bisector of the Si-O-Si angle.  $\alpha_q$  and  $\gamma_q$  were identically zero at all Si-O-Si angles. The energies are referenced with respect to the staggered cluster with a Si-O-Si angle of  $180^\circ$ .

Staggered						Eclipsed				
$\angle \text{Si-O-Si}$ (deg)	$d(\text{Si-O})$ ( $\text{\AA}$ )	Energy (kJ/mol)	$C_q$ (MHz)	$\eta_q$	$\beta_q$ (deg)	$d(\text{Si-O})$ ( $\text{\AA}$ )	Energy (kJ/mol)	$C_q$ (MHz)	$\eta_q$	$\beta_q$ (deg)
$120^\circ$	1.641	24.92	-5.04	0.718	$-0.44^\circ$	1.6475	75.13	-5.06	0.692	$0.0^\circ$
$130^\circ$	1.638	9.53	-5.67	0.441	$-0.23^\circ$	1.6395	23.43	-5.66	0.408	$0.0^\circ$
$140^\circ$	1.636	3.43	-6.17	0.260	$-0.13^\circ$	1.6364	8.33	-6.16	0.232	$0.0^\circ$
$150^\circ$	1.635	1.22	-6.54	0.139	$-0.07^\circ$	1.6347	3.57	-6.53	0.118	$0.0^\circ$
$160^\circ$	1.634	0.54	-6.78	0.059	$-0.03^\circ$	1.6335	1.94	-6.77	0.046	$0.0^\circ$
$170^\circ$	1.633	0.18	-6.92	0.015	$-0.01^\circ$	1.6327	1.21	-6.91	0.008	$0.0^\circ$
$180^\circ$	1.632	0.00	-6.97	0.000	$0.00^\circ$	1.6324	0.94	-6.96	0.000	$0.0^\circ$

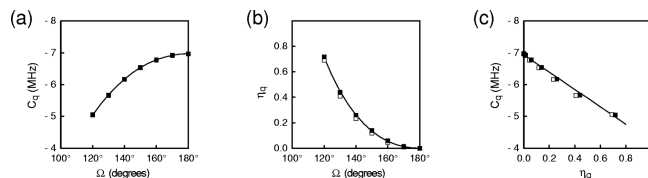


FIG. 3. *Ab initio* predicted values in (a) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , (b) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , and (c) the correlation between  $C_q$  and  $\eta_q$  for the bridging oxygen in the  $(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3$  molecule as a function of the Si–O–Si angle. The staggered configuration is shown as filled squares and the eclipsed configuration as open squares. Solid lines represent the best fit curves of Eqs. (13)–(14) through the staggered configuration *ab initio* data.

should be careful not to infer that Si–O–Si bond angle distributions in silicate glasses derive from the energy surface of this cluster along the Si–O–Si bond angle coordinate. For example, in earlier *ab initio* work<sup>17</sup> using an STO-3G basis set the same cluster was determined to have an energy minimum near a Si–O–Si angle of  $144^\circ$ , in agreement with the histogram of Si–O–Si angles found in crystalline polymorphs of  $\text{SiO}_2$ . Based on our results at a higher basis set it appears that this agreement between the STO-3G results and the crystallography data is perhaps fortuitous. In addition, in the case of alkali-coordinated bridging oxygen, as we show later, the calculated energy differences between clusters with Si–O–Si angles between  $140^\circ$  and  $180^\circ$  are still on the order of 2 kJ/mol. Thus, we generally conclude that while the distribution of Si–O–Si angles may be affected by this local energy surface, particularly at low angles, it may be that variations in higher coordination sphere structures such as silicate ring size have a stronger effect on the Si–O–Si angle distribution.

In Figs. 3(a) and 3(b) is the dependence of the *ab initio* predicted  $^{17}\text{O}$   $C_q$  and  $\eta_q$  data for the bridging oxygen in the  $(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3$  molecule as a function of Si–O–Si angle. Only minor differences in the  $^{17}\text{O}$  NMR quadrupolar coupling parameters can be found between the staggered and eclipsed configurations. We observe an increase in the magnitude of  $C_q$  and a decrease of  $\eta_q$  with increasing Si–O–Si angle, as is expected from experimental data obtained on the  $\text{SiO}_2$  polymorph cristobalite<sup>18</sup> and coesite<sup>10</sup> as well as from previous *ab initio* calculations on the  $\text{H}_3\text{Si}-\text{O}-\text{SiH}_3$  molecule.<sup>7–9</sup> In addition, the orientation of the bridging oxygen efg PAS was found to have its  $x$ - and  $z$ -axes lying in the plane of the Si–O–Si angle with the  $z$ -axis perpendicular to the bisector of the Si–O–Si angle. This result is in agreement with previous theoretical<sup>7–9</sup> and experimental<sup>19,10,18</sup> results.

From a practical point of view it is useful to describe the dependence of  $C_q$  and  $\eta_q$  on the Si–O–Si angle with analytical expressions. In an earlier work<sup>10</sup> we used the semiempirical Townes–Dailey<sup>20</sup> approach to obtain analytical expressions that closely followed this dependence. Below are these expressions modified with parameterized exponents to obtain better agreement with the *ab initio* and experimental data:

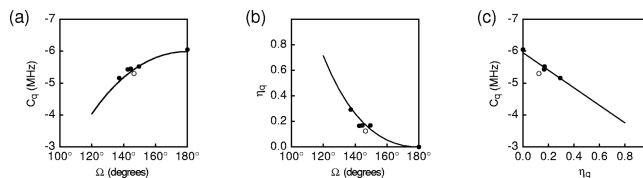


FIG. 4. Comparison of experimental data (symbols) and *ab initio* trends (solid lines) in (a) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , (*ab initio* shifted by 1.00 MHz); (b) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , and (c) the correlation between  $C_q$  and  $\eta_q$  for the bridging oxygen in the staggered  $(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3$  molecule as a function of the Si–O–Si angle. The coesite data (Ref. 10) are as shown as filled circles and the cristobalite data (Ref. 18) as open circles. Solid lines represent the best fit curves of Eqs. (13)–(14) through the staggered configuration *ab initio* data.

$$C_q(\Omega) = a \cdot \left( \frac{1}{2} + \frac{\cos \Omega}{\cos \Omega - 1} \right)^\alpha, \quad \text{and} \\ \eta_q(\Omega) = b \cdot \left( \frac{1}{2} - \frac{\cos \Omega}{\cos \Omega - 1} \right)^\beta. \quad (13)$$

By no means are these expressions unique in their ability to describe these relationships, and other expressions have been used previously.<sup>9,21,11</sup> For the *ab initio* staggered configuration we obtain  $a = -6.97$  MHz and  $\alpha = 1.78$  for our  $C_q$  expression, and  $b = 5.02$  and  $\beta = 1.09$  for our  $\eta_q$  expression. As seen in Fig. 3, the curves from these analytical expressions describe the trends well. Since  $C_q$  and  $\eta_q$  are related parametrically via the Si–O–Si angle we have also plotted the correlation between *ab initio* predicted  $C_q$  and  $\eta_q$  data in Fig. 3(c). Based on the expressions above and their parameter values we would predict a nearly linear correlation of the form

$$C_q \approx a \cdot \left( 1 - \frac{\alpha}{b} \eta_q \right). \quad (14)$$

Over the range of  $C_q$  and  $\eta_q$  values calculated this correlation appears to be very close to linear ( $r^2 = 0.996$ ), with a slope of 2.7 MHz and an intercept of  $-7.0$  MHz, in close agreement with the parameters derived from the individual fits of Eq. (13) to  $C_q$  and  $\eta_q$ .

Experimental values from  $\text{SiO}_2$  polymorphs and the trends from the *ab initio* calculations ( $C_q$  shifted by 1.00 MHz) are shown in Fig. 4. Although the  $C_q$  magnitudes obtained from the *ab initio* calculations are higher than experimental values by 1 MHz, they reproduce the experimental trends well. In Fig. 4(c) is a plot of the correlation between the experimentally measured  $^{17}\text{O}$  bridging oxygen  $C_q$  and  $\eta_q$  data which also shows good agreement with the predicted linear correlation.

## B. The $\text{M}[(\text{OH})_3\text{SiOSi}(\text{OH})_3]$ cluster where $\text{M} = \text{Li}^+$ and $\text{Na}^+$

The effect of a single coordinating alkali cation on the  $^{17}\text{O}$  quadrupolar coupling parameters of the bridging oxygen was investigated using *ab initio* calculations by placing ei-

ther a  $\text{Li}^+$  or  $\text{Na}^+$  cation in the plane of the Si–O–Si angle and in the plane that bisects the Si–O–Si angle as shown in Fig. 1(c). For each Si–O–Si angle the *ab initio* optimized  $(\text{OH})_3\text{Si–O–Si}(\text{OH})_3$  cluster geometry found in the absence of the alkali cation was used in the cluster containing the

coordinating alkali cation.

Using the point charge model we can predict variations in  $C_q$ ,  $\eta_q$ , and efg PAS orientation with cation-oxygen distance and internuclear vector orientation by inserting Eq. (9) into Eqs. (10)–(12) to obtain

$$C_q(\Omega, d, \theta) \approx C_q^{(\infty)}(\Omega) + \Delta C_q(d) \cdot (3 \cos^2 \theta - 1), \quad (15)$$

$$\eta_q(\Omega, d, \theta, \phi) C_q(\Omega, d, \theta) \approx \sqrt{(3 \Delta C_q(d) \sin^2 \theta \cos 2\phi + \eta_q^{(\infty)}(\Omega) C_q^{(\infty)}(\Omega))^2 + (3 \Delta C_q(d) \sin^2 \theta \sin 2\phi)^2} \quad (16)$$

and

$$\tan 2\alpha \approx \frac{3 \Delta C_q(d) \cdot \sin^2 \theta \sin 2\phi}{3 \Delta C_q(d) \cdot \sin^2 \theta \cos 2\phi + C_q^{(\infty)}(\Omega) \eta_q^{(\infty)}(\Omega)}, \quad (17)$$

where

$$\Delta C_q(d) = (1 - \gamma_\infty) \frac{Ze^2 Q}{h} \cdot \frac{1}{d^3}, \quad (18)$$

and  $C_q^{(\infty)}(\Omega)$  and  $\eta_q^{(\infty)}(\Omega)$  are the quadrupolar coupling constant and asymmetry parameter for the bridging oxygen at infinite cation separation. It is instructive to point out a few general trends based on Eqs. (15)–(17) and the assumptions leading to them:

- (1) Equation (15) predicts that  $C_q$  is generally independent of  $\phi$ , and with  $d$  held constant the trend in  $C_q$  with  $\Omega$  is shifted by a constant times  $(3 \cos^2 \theta - 1)$ .
- (2) In the special case where  $\eta_q^{(\infty)}(\Omega) = 0$  (i.e.,  $\angle \text{Si–O–Si} = 180^\circ$ ) Eq. (16) yields

$$\eta_q(\Omega, d, \theta, \phi) \approx 3 \left( \frac{\Delta C_q(d)}{C_q(\Omega, d, \theta)} \right) \sin^2 \theta, \quad (19)$$

indicating that  $\eta_q$  also becomes generally independent of  $\phi$ . In addition, Eq. (17) reduces to

$$\tan 2\alpha = \tan 2\phi, \quad (20)$$

indicating that the alkali-oxygen internuclear vector defines the direction of the  $x$ -axis of the efg PAS.

- (3) If  $\phi = 0^\circ$  or  $90^\circ$  then Eq. (16) yields

$$\eta_q(\Omega, d, \theta, \phi) \approx \eta_q^{(\infty)}(\Omega) \left( \frac{C_q^{(\infty)}(\Omega)}{C_q(\Omega, d, \theta)} \right) + 3 \left( \frac{\Delta C_q(d)}{C_q(\Omega, d, \theta)} \right) \sin^2 \theta. \quad (21)$$

If we assume that the ratios  $[C_q^{(\infty)}(\Omega)/C_q(\Omega, d, \theta)]$  and  $[\Delta C_q(d)/C_q(\Omega, d, \theta)]$  are approximately constant as a function of  $\Omega$ , then we would predict that the trend in  $\eta_q$  with  $\Omega$  is simply scaled and shifted by a constant times  $\sin^2 \theta$ .

- (4) In the case where  $\phi = 0^\circ$  and  $\theta = 90^\circ$ , as shown in Fig. 1(c), we obtain the following expressions from Eq. (8):

$$\frac{e^2 Q}{h} \langle q_{xx} \rangle = \frac{e^2 Q}{h} \langle q_{xx} \rangle^{(\infty)} + 2 \Delta C_q(d),$$

$$\frac{e^2 Q}{h} \langle q_{yy} \rangle = \frac{e^2 Q}{h} \langle q_{yy} \rangle^{(\infty)} - \Delta C_q(d), \quad (22)$$

$$\frac{e^2 Q}{h} \langle q_{zz} \rangle = \frac{e^2 Q}{h} \langle q_{zz} \rangle^{(\infty)} - \Delta C_q(d).$$

With  $\theta = 90^\circ$  and  $\phi = 0^\circ$  these equations predict that upon approach of the cation  $\langle q_{zz} \rangle$  and  $\langle q_{xx} \rangle$  decrease in magnitude while  $\langle q_{yy} \rangle$  increases. Keep in mind that  $\langle q_{xx} \rangle + \langle q_{yy} \rangle + \langle q_{zz} \rangle = 0$ , and that  $|\langle q_{zz} \rangle| > |\langle q_{yy} \rangle| > |\langle q_{xx} \rangle|$ . With decreasing cation-oxygen distance eventually the magnitude of the efg along the  $y$ -axis will exceed that along the  $z$ -axis and then  $\langle q_{zz} \rangle$  will switch to lying along the  $y$ -axis of the original PAS system, i.e., perpendicular the cation-oxygen internuclear vector direction, and in the plane that is perpendicular to the Si–O–Si plane and bisects the Si–O–Si angle. For the two types of cations studied here only in the case of  $\text{Li}^+$  at a Si–O–Si angle of  $120^\circ$  does this situation occur. As a point of reference for the Si–O–Si angle of  $180^\circ$  the values of  $(e^2 q Q/h) \langle q_{xx} \rangle^{(\infty)}$ ,  $(e^2 q Q/h) \langle q_{yy} \rangle^{(\infty)}$ , and  $(e^2 q Q/h) \langle q_{zz} \rangle^{(\infty)}$  are approximately 3.5 MHz, 3.5 MHz, and  $-7.0$  MHz, respectively. For a coordinating  $\text{Na}^+$  at  $d = 2.5 \text{ \AA}$  we find from our *ab initio* calculations that

$$\frac{e^2 Q}{h} \langle q_{xx} \rangle - \frac{e^2 Q}{h} \langle q_{xx} \rangle^{(\infty)} \approx -1.0 \text{ MHz},$$

$$\frac{e^2 Q}{h} \langle q_{yy} \rangle - \frac{e^2 Q}{h} \langle q_{yy} \rangle^{(\infty)} \approx 0.5 \text{ MHz}, \quad (23)$$

$$\frac{e^2 Q}{h} \langle q_{zz} \rangle - \frac{e^2 Q}{h} \langle q_{zz} \rangle^{(\infty)} \approx 0.5 \text{ MHz}.$$

The ratio of these shifts are in agreement with the 2:-1:-1 ratio predicted by the point charge model.

### 1. Dependence on distance

In Fig. 5(a) and 5(b) is the dependence of  $C_q$  and  $\eta_q$  on the inverse cubed distance between the sodium cation and the bridging oxygen in the staggered  $(\text{OH})_3\text{Si–O–Si}(\text{OH})_3$  cluster for Si–O–Si angles ranging between  $120^\circ$  and  $180^\circ$ . Clearly there is a systematic decrease in the magnitude of  $C_q$  and an increase in  $\eta_q$  with decreasing sodium-oxygen distance. At each distance this shift in both  $C_q$  and  $\eta_q$  appears to be nearly identical for all Si–O–Si angles between  $120^\circ$

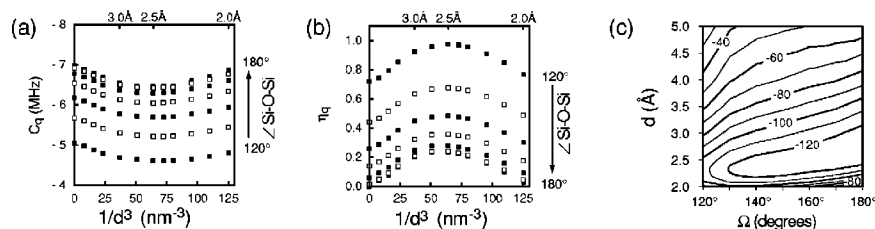


FIG. 5. *Ab initio* predicted values in (a) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$  and (b) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , as a function of the inverse cubed sodium-cation oxygen distance and Si–O–Si angles ranging from  $120^\circ$  to  $180^\circ$ . In (c) is a contour plot of the *ab initio* predicted energy of the staggered  $\text{Na}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  molecule as a function of sodium cation-bridging oxygen distance and Si–O–Si angles. The energies plotted are relative to the energy of the cluster with a Si–O–Si angle of  $180^\circ$  at infinite cation separation.

and  $180^\circ$ . The initial decrease in  $C_q$  is linear with  $1/d^3$  in agreement with Eqs. (15) and (18). The initial slopes yield Sternheimer anti-shielding factors ranging from  $\gamma_\infty = -10.4$  at  $\Omega = 120^\circ$  to  $\gamma_\infty = -12.1$  at  $\Omega = 180^\circ$ . These values are reasonably close to the previously estimated value<sup>22</sup> of  $\gamma_\infty = -28$ . In addition, the initial slope of the  $\eta_q C_q$  data (not shown) yielded  $\gamma_\infty$  values ranging from  $\gamma_\infty = -4.9$  at  $\Omega = 120^\circ$  to  $\gamma_\infty = -12.2$  at  $\Omega = 180^\circ$ . As noted earlier, the dependence of  $\gamma_\infty$  on the Si–O–Si angle is not unexpected given the non-spherically symmetric electron distribution in the cluster. Note that the initial slopes from  $C_q$  and  $\eta_q C_q$  give significantly different  $\gamma_\infty$  values at  $\Omega = 120^\circ$ , yet are reasonably close at  $\Omega = 180^\circ$ . This result is not surprising given the higher symmetry of the electron distribution in the  $\Omega = 180^\circ$  cluster.

As shown in Fig. 5(c), and as predicted in earlier *ab initio* work,<sup>23</sup> the cluster energy minimum occurs at lower Si–O–Si angles on approach of the alkali cation to the bridging oxygen. As the Si–O–Si angle increases from  $120^\circ$  to  $180^\circ$  the range of Na–O distances associated with these minimum energy clusters increase from  $2.3 \text{ \AA}$  ( $82.2 \text{ nm}^{-3}$ ) to  $2.7 \text{ \AA}$  ( $50 \text{ nm}^{-3}$ ), respectively. This range of Na–O distances is in agreement with the range of sodium–oxygen distances typically found in the first coordination sphere of bridging oxygen in crystalline sodium silicates. It is in this region that  $C_q$  and  $\eta_q$  also deviate from the  $1/d^3$  point charge model dependence as a result of more substantial orbital interactions between sodium and oxygen, and become, somewhat fortuitously, effectively independent of the Na–O distance. Since the relative spacing of  $C_q$  and  $\eta_q$  values as a function of the Si–O–Si angle has remained essentially unchanged by the presence of the coordinating sodium cation the trends in  $C_q$  and  $\eta_q$  with Si–O–Si angle, as shown in Fig. 7, also remain relatively unchanged other than a systematic shift of  $C_q$  to lower magnitudes and  $\eta_q$  to higher values.

## 2. Dependence on cation nature

In Fig. 6 are the predicted  $C_q$  and  $\eta_q$  values as a function of alkali-bridging oxygen distance comparing the case of  $\text{Li}^+$  and  $\text{Na}^+$  coordination. Only data for the cluster with a Si–O–Si angle of  $140^\circ$  is presented. Consistent with the point charge approximation at large alkali-oxygen distances there are no differences between  $\text{Na}^+$  and  $\text{Li}^+$  for  $C_q$  and  $\eta_q$ . On closer approach deviations from the point charge model appear first for the larger sodium cation and then for the lithium cation. The  $\text{Li}^+$  cation cluster reaches a minimum

energy at a cation-oxygen distance near  $1.9 \text{ \AA}$  as expected for lithium's smaller atomic radius. As with sodium, there is little variation in  $C_q$  and  $\eta_q$  due to variations with Li–O distance over the range of Li–O distances typically found in the first coordination sphere of the bridging oxygen in crystalline lithium silicates (i.e., near  $2.0 \text{ \AA}$ ). Also plotted in Fig. 7 are the trends in  $^{17}\text{O}$   $C_q$  and  $\eta_q$  with Si–O–Si angle for a  $\text{Li}^+$  ( $d = 2.0 \text{ \AA}$ ) coordinated oxygen. Again there is a systematic shift from the previously established dependence in  $^{17}\text{O}$  quadrupolar coupling parameters on Si–O–Si angle with  $C_q$  decreased and  $\eta_q$  increased by amounts that clearly depend on the field strength of the coordinating alkali cation. In addition the nearly linear correlation between  $C_q$  and  $\eta_q$  is preserved with slight dependence of intercept on the cation field strength and an overall shift of the data to a lower  $C_q$ , higher  $\eta_q$  region. There is a departure from the trends in the case of the  $\text{Li}^+$  cluster with a Si–O–Si angle of  $120^\circ$  that occurs because the  $^{17}\text{O}$  efg PAS undergoes a shift in orientation with the  $z$ -axis of the efg lying perpendicular to the Li–O internuclear vector direction and in the plane that bisects the Si–O–Si angle.

Once again, it is useful to describe the dependences of  $C_q$  and  $\eta_q$  on Si–O–Si angle with analytical expressions. We can use the expression in Eq. (13) for  $C_q^{(\infty)}$  and the point charge model as a basis for obtaining

$$C_q(\Omega) = a \cdot \left( \frac{1}{2} + \frac{\cos \Omega}{\cos \Omega - 1} \right)^\alpha - \Delta C_q^M, \quad (24)$$

where  $\Delta C_q^M$  is the shift in  $C_q$  due to the presence of an alkali cation at its equilibrium distance. The solid lines in Fig. 7(a) represent the best fit of this function to the *ab initio*  $C_q$  data with the best fit parameters given in Table II. The two pa-

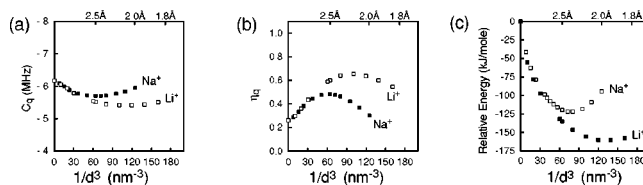


FIG. 6. Comparison of the *ab initio* predicted values in (a) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , (b) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , and (c) the energy of the staggered  $\text{Li}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  and  $\text{Na}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  molecules as a function of the inverse cubed sodium cation-bridging oxygen distance for a Si–O–Si angle of  $140^\circ$ . The energies plotted in (c) are relative to the energy of the cluster with a Si–O–Si angle of  $140^\circ$  at infinite cation separation.

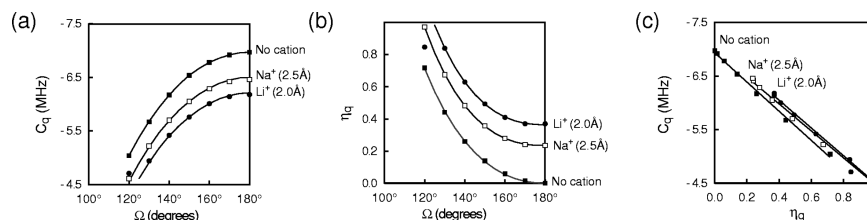


FIG. 7. Comparison of the *ab initio* predicted values in (a) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , (b) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , and (c) the correlation between  $C_q$  and  $\eta_q$  for the bridging oxygen in the staggered  $\text{Li}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  and  $\text{Na}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  molecules as a function of the Si–O–Si angle. The sodium and lithium cations were positioned at the distance near the minimum cluster energy, that is, 2.5 Å and 2.0 Å, respectively. At a Si–O–Si angle of  $120^\circ$  the  $C_q$  and  $\eta_q$  deviate from the predicted trend due to a switch in efg PAS orientation. Solid lines in (a) and (b) represent the best fit curves of Eqs. (24) and (25), respectively, to the *ab initio* data. The solid lines in (c) are linear fits to the *ab initio* data. Best fit parameters for all curves are given in Table II.

rameters  $a$  and  $\alpha$  in the  $\text{Na}^+$  and  $\text{Li}^+$  coordinated case are not significantly different from the case with no coordinating alkali cations; an indication of good qualitative agreement between the *ab initio*  $C_q$  data and the point charge model.

Although we could use the expression in Eq. (13) for  $\eta_q^{(\infty)}$  with the point charge model to develop an analytical expression for  $\eta_q$ , the resulting expression is unwieldy and offers little insight. The approximate solution in Eq. (21) for  $\eta_q$  when  $\phi=0^\circ$  or  $90^\circ$ , however, can be used as a basis for proposing to simply add an offset term to our expression in Eq. (13) to obtain

$$\eta_q(\Omega) = b \cdot \left( \frac{1}{2} - \frac{\cos \Omega}{\cos \Omega - 1} \right)^\beta + \Delta \eta_q^M. \quad (25)$$

Here the value of  $b$  is expected to be greater than in the case with no coordinating alkali cations, and the offset  $\Delta \eta_q^M$  is expected to be on the order of  $3\Delta C_q^M/C_q(\Omega)$ . The solid lines in Fig. 7(b) represent the best fit of this function to the *ab initio*  $\eta_q$  data with the best fit parameters given in Table II. Since the offset is predicted to be dependent on  $\Omega$  there are slightly greater deviations than expected for the best fit parameters  $\beta$  and  $b$ . Since the trends in  $C_q$  and  $\eta_q$  are essentially unchanged other than the constant shifts given in Table II, we would expect the linear correlation between  $C_q$  and  $\eta_q$  to be preserved as well, and this is seen in Fig. 7(c).

### 3. Dependence on orientation

While holding the sodium-bridging oxygen distance constant at 2.5 Å we varied the angle of the sodium–oxygen internuclear vector in the plane of the Si–O–Si angle [i.e.,  $\theta$  in Eq. (9)], and out of the Si–O–Si plane [i.e.,  $\phi$  in Eq. (9)] to study the effects of these changes on the bridging oxygen

efg. The variations in cluster energy with  $\theta$  and  $\phi$  are shown in Fig. 8, and the variations of the  $^{17}\text{O}$  efg tensor with  $\theta$  and  $\phi$  are shown in Figs. 9 and 10, respectively.

*a. Rotation in the Si–O–Si plane:* As seen in Fig. 8(a), outside a region of  $\pm 15^\circ$  to  $\pm 35^\circ$  depending on the Si–O–Si angle, there is a considerable energy barrier to moving the sodium–oxygen internuclear vector in the plane of the Si–O–Si angle. This is also consistent with the range of angles typically found near the bridging oxygen in crystalline sodium silicates. As shown in Fig. 9 there are relatively minor variations in both  $C_q$  and  $\eta_q$  with rotation of the sodium–oxygen internuclear vector in the plane of the Si–O–Si angle. Interestingly, even though the  $1/d^3$  dependence in Fig. 5 would indicate that at  $d=2.5$  Å we are out of the point charge regime, it appears that the variations in  $C_q$  and  $\eta_q$  are still qualitatively consistent with the  $\theta$  dependences given in Eqs. (15) and (21).  $\Delta C_q^M$  values, however, were adjusted as a function of  $\angle \text{Si–O–Si}$  in order to obtain better agreement with the *ab initio* data. The variations in  $\Delta C_q^M$  used for the  $C_q$  and  $\eta_q$  trends are given in the caption of Fig. 9. There are also relatively minor variations in the linear correlation between  $C_q$  and  $\eta_q$ , as seen in Fig. 5(c) which are also predicted by Eqs. (15) and (21).

Based on the high energy barrier associated with changing  $\theta$  together with the small variations in both  $C_q$  and  $\eta_q$  observed in our *ab initio* data we conclude that the relationship of  $C_q$  and  $\eta_q$  to Si–O–Si angle given by Eqs. (24) and (25) would not be strongly affected by variations in  $\theta$ .

*b. Rotation out of the Si–O–Si plane:* As seen in Fig. 8(b), the sodium–oxygen internuclear vector has effectively no energy barrier to rotation out of the plane of the Si–O–Si angle in contrast to the in-plane rotation (note scale of energy axes in Fig. 8). The *ab initio* predicted dependence of

TABLE II. Best fit parameters of Eqs. (24) and (25), and the linear fit to the *ab initio* predicted  $C_q$  and  $\eta_q$  data in the single coordinating alkali cation case. When using these parameters to predict the experimental  $C_q$  trends all  $\Delta C_q^M$  values should be increased by 1.0 MHz. The  $C_q$  and  $\eta_q$  data for the  $\text{Li}^+$  coordinated cluster with  $\angle \text{Si–O–Si}=120^\circ$  were omitted when solving for these parameters.

Cation	$C_q$ dependence			$\eta_q$ dependence			$C_q, \eta_q$ correlation	
	$a$ (MHz)	$\alpha$	$\Delta C_q^M$ (MHz)	$b$	$\beta$	$\Delta \eta_q^M$	slope (MHz)	intercept (MHz)
None	−6.97	1.78	0.00	5.03	1.09	0.000	2.72	−6.93
$\text{Na}^+(d=2.5 \text{ \AA})$	−6.97	1.69	−0.51	6.13	1.18	0.235	2.51	−6.98
$\text{Li}^+(d=2.0 \text{ \AA})$	−6.97	1.70	−0.79	6.58	1.18	0.364	2.58	−7.08

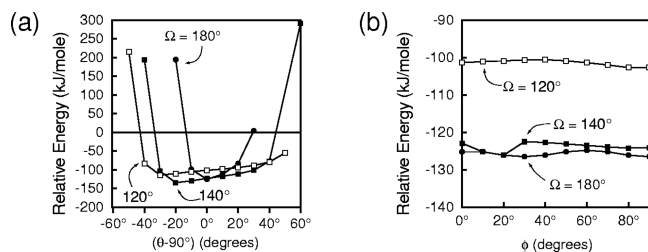


FIG. 8. *Ab initio* predicted energies for the staggered  $\text{Na}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  molecule as a function of (a) the angle between the Na-O internuclear vector and the plane perpendicular to the Si-O-Si plane and bisecting the Si-O-Si angle (i.e.,  $\theta-90^\circ$ ), while keeping the Na-O internuclear vector in the plane of the Si-O-Si angle, and (b) the angle between the Na-O internuclear vector and the plane perpendicular to the Si-O-Si plane and bisecting the Si-O-Si angle. The energies plotted are relative to the energy of the cluster with a Si-O-Si angle of  $180^\circ$  at infinite cation separation.

the  $^{17}\text{O}$  efg on  $\phi$  is shown in Fig. 10. As predicted by Eq. (15) there is no variation in  $C_q$  with  $\phi$ . The variation in  $\eta_q$  with  $\phi$  also follow the trends predicted by Eq. (16) with the variations most pronounced at low Si-O-Si angles. Due to these strong variations in  $\eta_q$  with  $\phi$ , the correlation between  $C_q$  and  $\eta_q$  deviates strongly from a linear correlation, with the greatest deviation occurring at  $\phi=90^\circ$ . The variations in the  $(C_q, \eta_q)$  correlation for the *ab initio* data and predicted from the point charge model are shown in Fig. 10(c).

Clearly the variations in  $\eta_q$  with  $\phi$  and the low energy barrier associated with moving the Na-O internuclear vector out of the Si-O-Si plane make  $\eta_q$  an unreliable parameter from which  $\Omega$ , the Si-O-Si angle, can be determined. Fortunately,  $C_q$  is effectively independent of  $\phi$  and can still act as a reliable probe of  $\Omega$ . In principle, if both  $C_q$  and  $\eta_q$  could be measured, then both  $\Omega$  and  $\phi$  could be determined in the case of a single coordinating alkali cation.

### C. The $\text{M}_2[(\text{OH})_3\text{SiOSi}(\text{OH})_3]$ cluster where $\text{M}=\text{Li}^+$ and $\text{Na}^+$

The effect of two coordinating alkali cations on the  $^{17}\text{O}$  quadrupolar coupling parameters of the bridging oxygen were investigated by placing either a pair of  $\text{Li}^+$  or  $\text{Na}^+$  cations in the plane that is perpendicular to the Si-O-Si plane and bisects the Si-O-Si angle with each cation at an angle  $\pm\Delta/2$ , respectively, away from the bisector of the M-O-M angle as shown in Fig. 1(d). Once again, the optimized  $(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3$  cluster geometry found for each Si-O-Si angle in the absence of alkali cations was used in the cluster containing the coordinating alkali cations. Although the cluster with the two cations is energetically unstable with respect to the cluster with infinite cation separation, we choose not to remedy this situation by coordinating an additional negative counter ion such as  $\text{O}^{2-}$  to the two cations since this would unnecessarily complicate the comparison to the bridging oxygen efg tensor in the single cation clusters. Nonetheless, we performed additional calculations to determine the optimum Na-O distance in the  $\text{Na}_2\text{O}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]$  cluster for the  $\angle\text{Si}-\text{O}-\text{Si}$  of  $140^\circ$  and found a value of  $2.23 \text{ \AA}$ , which is within  $0.1 \text{ \AA}$  of the optimum distance found in the  $\text{Na}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  cluster. (The  $\text{O}^{2-}$  counter ion was constrained to lie in the Si-O-Si plane along the bisector of the Si-O-Si angle and above the two alkali cations.) In addition, the Na-O-Na angle in this optimized cluster was  $88.3^\circ$ .

Using the same assumptions of the last section we can predict variations in  $C_q$ ,  $\eta_q$ , and efg PAS orientation with cation-oxygen distance and internuclear vector orientation in the case of two coordinating alkali cations by inserting Eq. (9) into Eqs. (10)–(12) to obtain

$$C_q(\Omega, d, \theta) \approx C_q^{(\infty)}(\Omega) + 2\Delta C_q(d) \cdot (3 \cos^2 \theta - 1), \quad (26)$$

$$\eta_q(\Omega, d, \theta, \phi) C_q(\Omega, d, \theta) \approx \sqrt{(6\Delta C_q(d) \sin^2 \theta \cos 2\phi \cos \Delta + \eta_q^{(\infty)}(\Omega) C_q^{(\infty)}(\Omega))^2 + (6\Delta C_q(d) \sin^2 \theta \sin 2\phi \cos \Delta)^2}, \quad (27)$$

and

$$\tan 2\alpha \approx \frac{6\Delta C_q(d) \sin^2 \theta \sin 2\phi \cos \Delta}{6\Delta C_q(d) \sin^2 \theta \cos 2\phi \cos \Delta + C_q^{(\infty)}(\Omega) \eta_q^{(\infty)}(\Omega)}, \quad (28)$$

where  $\Delta$  is the M-O-M angle,  $\phi$  is the angle between the M-O-M bisector and Si-O-Si plane, and  $\theta$  and  $d$  are assumed to be identical for both cations. Once again, there are a few noteworthy trends predicted by these equations:

(1) Equation (26) predicts that  $C_q$  is generally independent of  $\phi$  and  $\Delta$ , and with  $d$  held constant that the trend in  $C_q$  with  $\Omega$  is shifted by a constant offset that is modulated

by  $(3 \cos^2 \theta - 1)$  and is twice the magnitude of the offset in the case of a single coordinating alkali cation.

(2) If  $\Delta=90^\circ$  or  $270^\circ$  then

$$\eta_q(\Omega, d, \theta, \phi) = \eta_q^{(\infty)}(\Omega) \left( \frac{C_q^{(\infty)}(\Omega)}{C_q(\Omega, d, \theta)} \right), \quad (29)$$

and the trend in  $\eta_q$  is simply scaled and is generally independent of  $\phi$ .

(3) In the special case where  $\eta_q^{(\infty)}(\Omega)=0$  (i.e.,  $\angle\text{Si}-\text{O}-\text{Si}=180^\circ$ ) Eq. (27) gives an expression for  $\eta_q$ ,

$$\eta_q(\Omega, d, \theta, \phi) \approx 3 \left( \frac{\Delta C_q(d)}{C_q(\Omega, d, \theta)} \right) \sin^2 \theta \cos \Delta, \quad (30)$$



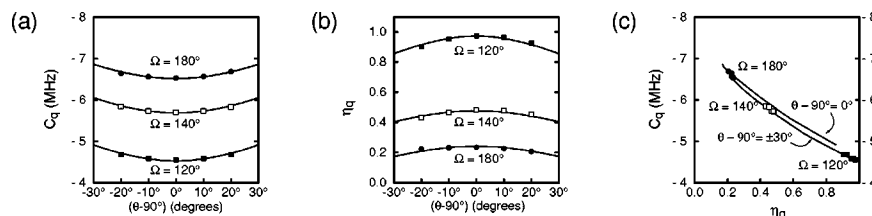


FIG. 9. *Ab initio* predicted values in (a) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , (b) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , and (c) the correlation between  $C_q$  and  $\eta_q$  for the bridging oxygen in the staggered  $\text{Na}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  molecule as a function of the angle between the Na-O internuclear vector and the plane perpendicular to the Si-O-Si plane and bisecting the Si-O-Si angle (i.e.,  $\theta-90^\circ$ ), while keeping the Na-O internuclear vector in the plane of the Si-O-Si angle. Lines in (a) represent the best fit to Eq. (15) with  $\Delta C_q^M = 0.57, 0.54,$  and  $0.53$  for  $\Omega = 120^\circ, 140^\circ,$  and  $180^\circ$ , respectively. Lines in (b) represent the best fit to Eq. (21) with  $\Delta C_q^M = 0.25, 0.36,$  and  $0.52$  for  $\Omega = 120^\circ, 140^\circ,$  and  $180^\circ$ , respectively. Lines in (c) represent the variation in the correlation between  $C_q$  and  $\eta_q$  for  $(\theta-90^\circ) = 0^\circ$  and  $(\theta-90^\circ) = \pm 30^\circ$  predicted by Eqs. (15) and (21).

that is generally independent of  $\phi$ . In addition, Eq. (28) also reduces to  $\tan 2\alpha = \tan 2\phi$ , indicating that the bisector of the M-O-M angle defines the direction of the  $x$ -axis of the efg PAS.

- (4) If  $\phi = 0^\circ$  or  $90^\circ$  then Eq. (27) gives an expression for  $\eta_q$ ,

$$\eta_q(\Omega, d, \theta, \phi) \approx \eta_q^{(\infty)}(\Omega) \left( \frac{C_q^{(\infty)}(\Omega)}{C_q(\Omega, d, \theta)} \right) + 6 \left( \frac{\Delta C_q(d)}{C_q(\Omega, d, \theta)} \right) \sin^2 \theta \cos \Delta, \quad (31)$$

that predicts that the trend in  $\eta_q$  with  $\Omega$  is simply scaled and shifted by a constant times  $\sin^2 \theta \cos \Delta$ .

- (5) In the case where  $\phi = 0^\circ$  and  $\theta = 90^\circ$ , as shown in Fig. 1(d), we obtain the following expressions from Eq. (8):

$$\frac{e^2 Q}{h} \langle q_{xx} \rangle = \frac{e^2 Q}{h} \langle q_{xx} \rangle^{(\infty)} + \Delta C_q(d) \cdot (1 + 3 \cos \Delta),$$

$$\frac{e^2 Q}{h} \langle q_{yy} \rangle = \frac{e^2 Q}{h} \langle q_{yy} \rangle^{(\infty)} + \Delta C_q(d) \cdot (1 - 3 \cos \Delta), \quad (32)$$

$$\frac{e^2 Q}{h} \langle q_{zz} \rangle = \frac{e^2 Q}{h} \langle q_{zz} \rangle^{(\infty)} - 2\Delta C_q(d).$$

- (a) Near  $\Delta = 90^\circ$  these equations predict that upon approach of the cations  $\langle q_{xx} \rangle$ ,  $\langle q_{yy} \rangle$ , and  $\langle q_{zz} \rangle$  decrease in magnitude with  $\langle q_{zz} \rangle$  decreasing at a rate twice that of  $\langle q_{xx} \rangle$  and  $\langle q_{yy} \rangle$ . As a point of reference, for two coordinating  $\text{Na}^+$  cations at  $d = 2.5 \text{ \AA}$  and  $\Delta = 90^\circ$  we find from our *ab initio* calculations that

$$\frac{e^2 Q}{h} \langle q_{xx} \rangle - \frac{e^2 Q}{h} \langle q_{xx} \rangle^{(\infty)} \approx -0.5 \text{ MHz},$$

$$\frac{e^2 Q}{h} \langle q_{yy} \rangle - \frac{e^2 Q}{h} \langle q_{yy} \rangle^{(\infty)} \approx -0.5 \text{ MHz}, \quad (33)$$

$$\frac{e^2 Q}{h} \langle q_{zz} \rangle - \frac{e^2 Q}{h} \langle q_{zz} \rangle^{(\infty)} \approx 1.0 \text{ MHz}.$$

The ratio of these shifts are in agreement with those predicted by the point charge model.

- (b) Near  $\Delta = 109.5^\circ$  these equations predict that upon approach of the cations  $\langle q_{xx} \rangle$  remains constant in magnitude while  $\langle q_{yy} \rangle$  decreases in magnitude at the same rate as  $\langle q_{zz} \rangle$ . However, if  $|\langle q_{yy} \rangle|$  becomes less than  $|\langle q_{xx} \rangle|$ , then  $\langle q_{xx} \rangle$  and  $\langle q_{yy} \rangle$  swap definitions and  $\langle q_{xx} \rangle$  decreases in magnitude upon approach of the cation while  $\langle q_{yy} \rangle$  remains constant. Similarly, near  $\Delta = 70.5^\circ$  these equations predict that upon approach of the cations  $\langle q_{yy} \rangle$  remains constant in magnitude while  $\langle q_{xx} \rangle$  decreases in magnitude at the same rate as  $\langle q_{zz} \rangle$ .
- (c) Near  $\Delta = 180^\circ$  these equations predict the greatest changes in  $\langle q_{xx} \rangle$  and  $\langle q_{yy} \rangle$  upon approach of the cations, with  $\langle q_{xx} \rangle$  increasing at the same rate that  $\langle q_{zz} \rangle$  is decreasing, and  $\langle q_{yy} \rangle$  decreasing at twice the rate of  $\langle q_{zz} \rangle$ .

A comparison of the trends in  $^{17}\text{O}$  quadrupolar coupling parameters for the bridging oxygen as a function of Si-O-Si angle in the case of zero, one, and two coordinating alkali

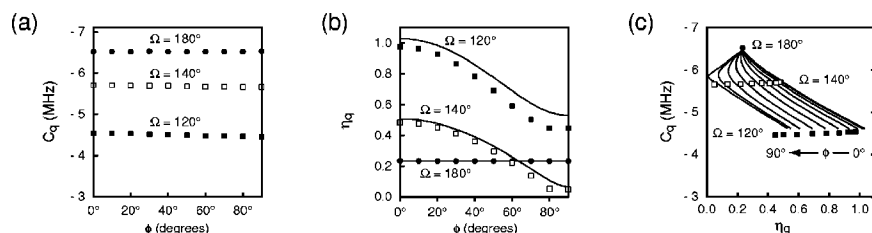


FIG. 10. *Ab initio* predicted values in (a) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , (b) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , and (c) the correlation between  $C_q$  and  $\eta_q$  for the bridging oxygen in the staggered  $\text{Na}[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^+$  molecule as a function of the angle between the Na-O internuclear vector and the plane of the Si-O-Si angle, while keeping the Na-O internuclear vector in the plane perpendicular to the Si-O-Si plane and bisecting the Si-O-Si angle. Lines in (b) represent the best fit to Eqs. (15) and (16) with  $\Delta C_q^M = 0.38, 0.39,$  and  $0.50$  for  $\Omega = 120^\circ, 140^\circ,$  and  $180^\circ$ , respectively. Lines in (c) represent the variation in the correlation between  $C_q$  and  $\eta_q$  predicted by Eqs. (15) and (16) as a function of  $\Omega$  and  $\phi$ .

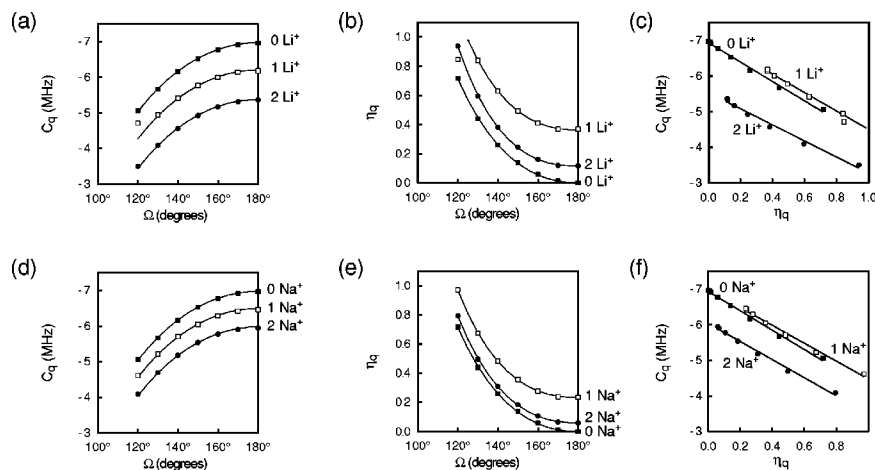


FIG. 11. Comparison of the *ab initio* predicted values in (a,d) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , (b,e) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , and (c,f) the correlation between  $C_q$  and  $\eta_q$  for the bridging oxygen in the staggered  $\text{Li}_n [(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^{n+}$  ( $d=2.0$  Å) and  $\text{Na}_n [(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^{n+}$  ( $d=2.5$  Å) molecules as a function of Si-O-Si angle for increasing number of alkali coordinating near the bridging oxygen. In the two cation clusters we have set  $\Delta=90^\circ$ . Solid lines in (a), (b), (d) and (e) represent the best fit curves of Eqs. (24), (25), and (34), and solid lines in (c) and (f) are linear fits to the *ab initio* data. Best fit parameters for all curves are given in Tables II and III.

cations is shown in Figs. 11(a)-11(c) for the  $\text{Li}_2 [(\text{OH})_3\text{SiOSi}(\text{OH})_3]^{2+}$  cluster and in Figs. 11(d)-11(f) for the  $\text{Na}_2 [(\text{OH})_3\text{SiOSi}(\text{OH})_3]^{2+}$  cluster. For  $C_q$  the effect of a second cation is cumulative with the magnitude of the additive shift dependent on the cation field strength. As predicted by Eq. (26) this shift is twice that of the single cation in both the  $\text{Li}^+$  and  $\text{Na}^+$  case. The effect of two cations on the asymmetry parameter, however, is markedly different than in the single cation case. The presence of two cations with  $\Delta=90^\circ$  only slightly shifts the asymmetry parameter to higher values in comparison to the large shift induced by a single coordinating cation. Again, this is predicted in the  $\Delta=90^\circ$  case where Eq. (27) reduces to Eq. (29). As seen in Figs. 11(c) and 11(f) the nearly linear correlation between  $C_q$  and  $\eta_q$  is preserved in the two cation case with little change in slope but a significant change in intercept. This change in correlation intercept may prove useful as a means of experimentally distinguishing different coordination environments of the bridging oxygen.

To describe the dependences of  $C_q$  and  $\eta_q$  on Si-O-Si angle we have used the following analytical expressions:

$$C_q = a \cdot \left( \frac{1}{2} + \frac{\cos \Omega}{\cos \Omega - 1} \right)^\alpha - 2\Delta C_q^M, \quad \text{and}$$

$$\eta_q = b \cdot \left( \frac{1}{2} - \frac{\cos \Omega}{\cos \Omega - 1} \right)^\beta + \Delta \eta_q^M. \quad (34)$$

TABLE III. Best fit parameters for Eq. (34), and the linear fit to the  $C_q$ ,  $\eta_q$  *ab initio* data in the case of two coordinating alkali cation case. When using these parameters to predict the experimental  $C_q$  trends all  $\Delta C_q^M$  values should be increased in magnitude by 1.0 MHz.

Cation	$C_q$ dependence			$\eta_q$ dependence			$C_q$ , $\eta_q$ correlation	
	$a$ (MHz)	$\alpha$	$\Delta C_q^M$ (MHz)	$b$	$\beta$	$\Delta \eta_q^M$	slope (MHz)	intercept (MHz)
$\text{Na}^+$ ( $d=2.5$ Å)	-6.97	1.71	-0.51	6.03	1.18	0.061	2.54	-6.03
$\text{Li}^+$ ( $d=2.0$ Å)	-6.97	1.71	-0.81	7.46	1.23	0.116	2.26	-5.53

The solid lines in Figs. 11(a), 11(b), 11(d), and 11(e) represent the best fit of these two functions to the *ab initio* derived  $C_q$  and  $\eta_q$  data with the best fit parameters given in Table III.

*Dependence on orientation.* In the case of two coordinating alkali cations we also investigated the dependence of  $C_q$  and  $\eta_q$  on the rotation of the M-O-M bisector out of the Si-O-Si plane. While holding  $\Delta$  constant the changes in cluster energy with variations in  $\phi$  (data not shown) are negligible as was the case with the single coordinating alkali cation. While holding  $\phi$  constant the changes in cluster energy with variations in  $\Delta$  are substantial (from 172 kJ at  $\Delta=75^\circ$  to 65.3 kJ at  $\Delta=120^\circ$ ). In the absence of a counter ion such as  $\text{O}^{2-}$ , however, this energy difference is not a realistic basis for predicting the M-O-M angle.

When the two cations are both coordinated to the same  $\text{O}^{2-}$  counter ion our *ab initio* calculations predicted an M-O-M angle near  $90^\circ$ . The *ab initio* predicted dependence of the  $^{17}\text{O}$   $C_q$  and  $\eta_q$  on  $\phi$  for  $\Delta=90^\circ$  is shown in Figs. 12(a) and 12(b) for the  $\text{Na}_2 [(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^{2+}$  cluster. As predicted by Eq. (26) there is no variation in  $C_q$  with  $\phi$ , and as predicted by Eq. (29) there is little to no variation in  $\eta_q$  with  $\phi$ . The variations in the correlation between  $C_q$  and  $\eta_q$  for the *ab initio* data and predicted from the point charge model are shown in Fig. 12(c). Because of the near invariance in both  $C_q$  and  $\eta_q$  with  $\phi$  the correlation between

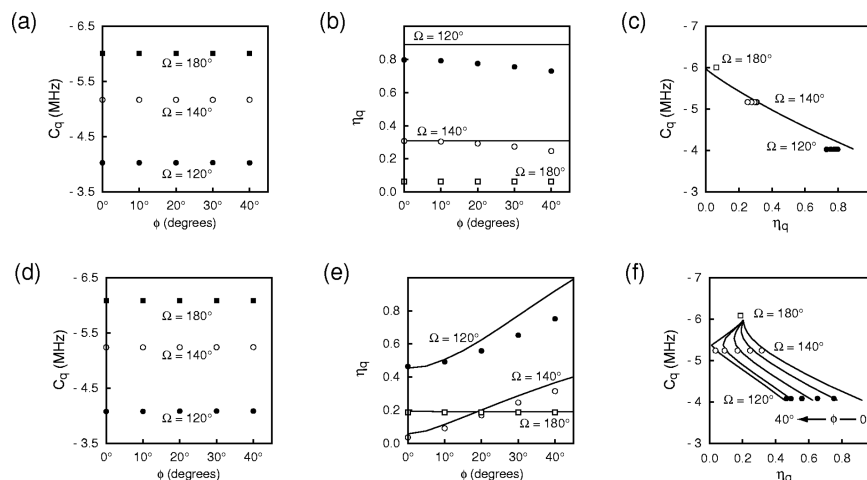


FIG. 12. *Ab initio* predicted values in (a), (d) the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , (b), (e) the  $^{17}\text{O}$  quadrupolar asymmetry parameter,  $\eta_q$ , and (c), (f) the correlation between  $C_q$  and  $\eta_q$  for the bridging oxygen in the staggered  $\text{Na}_2[(\text{OH})_3\text{Si}-\text{O}-\text{Si}(\text{OH})_3]^{2+}$  molecule as a function of Si–O–Si angle and the angle between the Na–O–Na bisector and the plane of the Si–O–Si angle, while keeping the two sodium cations in the plane bisecting the Si–O–Si angle. Data in (a), (b), (c) were calculated for  $\Delta = 90^\circ$  and (d), (e), (f) for  $\Delta = 120^\circ$ . Lines in (b), (d) represent the best fit to Eqs. (26) and (27) with  $\Delta C_q^M = 0.57, 0.43,$  and  $0.38$  ( $\Delta = 120^\circ$  only) for  $\Omega = 120^\circ, 140^\circ,$  and  $180^\circ$ , respectively. Lines in (c), (f) represent the variation in the correlation between  $C_q$  and  $\eta_q$  predicted by Eqs. (26) and (27) as a function of  $\Omega$  and  $\phi$ .

$C_q$  and  $\eta_q$  deviates only slightly from a linear correlation.

The *ab initio* predicted dependence of the  $^{17}\text{O}$   $C_q$  and  $\eta_q$  on  $\phi$  for  $\Delta = 120^\circ$  is shown in Figs. 12(d) and 12(e). Once again, there is no variation in  $C_q$  with  $\phi$  or  $\Delta$  as predicted by Eq. (26). For  $\Delta = 120^\circ$  the point charge model predicts stronger variations in  $\eta_q$  with  $\phi$ , with the most pronounced variations occurring at low Si–O–Si angles. The variations in the correlation between  $C_q$  and  $\eta_q$  for the *ab initio* data and predicted from the point charge model are shown in Fig. 10(g). As was the case in the single coordinating alkali cation case, there will be increasing deviations from the linear correlation with increasing  $\phi$ .

Clearly the variations in  $\eta_q$  with  $\phi$  when  $\Delta \neq 90^\circ$  and the low energy barrier associated with moving the Na–O internuclear vector out of the Si–O–Si plane once again make  $\eta_q$  somewhat of an unreliable parameter from which  $\Omega$ , the Si–O–Si angle, can be determined. As in the single coordinating alkali cation case, however,  $C_q$  is effectively independent of  $\phi$  and can still act as a reliable probe of  $\Omega$ . In the absence of an  $\eta_q$  dependence on  $\phi$  (i.e. when  $\Delta = 90^\circ$ ), one could use the intercept of the linear correlation between  $C_q$  and  $\eta$  to distinguish between one and two alkali cations coordinating the bridging oxygen as seen in Fig. 11 and indicated in Tables II and III.

#### D. The $\text{M}_n[(\text{OH})_3\text{SiOSi}(\text{OH})_3]$ cluster where $n=3$ or 4

Although we did not pursue *ab initio* calculations on clusters containing three or more coordinating alkali cations we can predict the behavior of  $C_q$  and  $\eta_q$  on the basis of our point charge model. If we assume that all coordinating alkali cations have the same  $\theta$  value and are spaced around the bridging oxygen such that  $\Delta = \angle \text{M}_1-\text{O}-\text{M}_2 = \angle \text{M}_2-\text{O}-\text{M}_3 = \angle \text{M}_3-\text{O}-\text{M}_4$  then our starting point for the point charge model in the three coordinating alkali cation case is

$$\langle R_{2,m}(\Omega) \rangle = \langle \rho_{2,m}^{(\infty)}(\Omega) \rangle + (1 - \gamma_\infty) \frac{Ze}{d^3} \sqrt{\frac{4\pi}{5}} Y_{2,m}(\theta, \phi) \times \left\{ 2 \cos\left(\frac{m\Delta}{2}\right) + 1 \right\}, \quad (35)$$

and in the four coordinating alkali cation case is

$$\langle R_{2,m}(\Omega) \rangle = \langle \rho_{2,m}^{(\infty)}(\Omega) \rangle + (1 - \gamma_\infty) \frac{Ze}{d^3} \sqrt{\frac{4\pi}{5}} Y_{2,m}(\theta, \phi) \times \left\{ 2 \cos\left(\frac{m\Delta}{2}\right) + 2 \cos\left(\frac{3m\Delta}{2}\right) \right\}. \quad (36)$$

$C_q$  is derived from the  $m=0$  element and the equations above predict that  $C_q$  will vary linearly with alkali cation coordination number. Assuming that the three and four alkali cations would coordinate with  $\theta$  near  $90^\circ$  (i.e., all cations near the plane that is perpendicular to the Si–O–Si plane and bisects the Si–O–Si angle), then we would conclude that  $C_q$  is systematically decreased with increasing number of coordinating alkali cations, and would be relatively insensitive to orientational changes (i.e.  $\phi$  and  $\Delta$ ) of the coordinating alkali cations. Thus, given the number of coordinating alkali cations  $C_q$  would still serve as a reliable probe of the Si–O–Si bond angle.

$\eta_q$  is derived from  $m = \pm 2$  elements and in general would depend on the relative ( $\Delta$ ) and overall ( $\phi$ ) orientation of the coordinating alkali cations in a manner that is similar to the single coordinating alkali cation case. However, the variations in  $\eta_q$  due to changing orientation of coordinating alkali cations are expected to be smaller in magnitude compared to the single coordinating alkali cation case, and to have no variations due to changing orientation in the limiting cases of  $\Delta = 120^\circ$  and  $90^\circ$  for the three and four coordinating

alkali cation cases, respectively. In general,  $\eta_q$  may have limited utility as a probe of the Si–O–Si bond angle, but could serve as a probe of the orientational configuration of the coordinating alkali cations. If the alkali cations were spaced such that  $\eta_q$  is independent of the orientation of the coordinating alkali cations, then, as noted in the last section, the intercept of the linear correlation between  $C_q$  and  $\eta$  can be used to determine the total number of coordinating alkali cations surrounding the bridging oxygen.

#### IV. SUMMARY AND CONCLUSIONS

We have performed *ab initio* calculations on what we consider to be the simplest cluster molecules needed to reproduce the dependence of the experimentally measured  $^{17}\text{O}$  quadrupolar coupling parameters on the local structure around the bridging oxygen in the case of alkali silicates. We have also found that a point charge model can be used to derive approximate expressions to describe the dependence of the  $^{17}\text{O}$  electric field gradient tensor on the orientation of the alkali cation-bridging oxygen internuclear vector(s). Based on these results we predict that the  $^{17}\text{O}$  quadrupolar coupling constant,  $C_q$ , can still act as a reliable probe of the Si–O–Si angle with the previously established trend in  $C_q$  with Si–O–Si angle systematically shifted to lower magnitudes with increasing number and field strength of coordinating alkali cations. In general,  $C_q$  is relatively insensitive to orientational changes in the coordinating alkali cation-oxygen bridging internuclear vector. In contrast, the bridging oxygen  $^{17}\text{O}$  quadrupolar coupling asymmetry parameter,  $\eta_q$ , is sensitive to the orientational changes in the coordinating alkali cation-bridging oxygen internuclear vector(s) and thus may no longer act as a reliable probe of Si–O–Si angle in the presence of coordinating alkali cations. Under specific assumptions for coordinating alkali cation orientations the previously established trend in  $\eta_q$  with Si–O–Si angle is systematically shifted to higher values by the presence of a single coordinating alkali cation, and only slightly shifted to higher values by the presence of two or more coordinating alkali cations. As with the quadrupolar coupling constant, the extent of the shift in asymmetry parameter increases with increasing field strength of the coordinating alkali cation(s). In the absence of an  $\eta_q$  dependence on the orientation of the coordinating alkali cation-bridging oxygen internuclear vector the intercept of the linear correlation between  $C_q$  and  $\eta$  can be used to determine the total number of coordinating alkali cations surrounding the bridging oxygen.

We expect that coordinating alkali cations with larger radii and lower field strengths would result in smaller overall shifts in the previously established  $C_q$  and  $\eta_q$  trends with Si–O–Si angle. In contrast, for network modifying cations of smaller radius and higher field strength, such as  $\text{Mg}^{2+}$  or  $\text{Be}^{2+}$ , we also expect that these cations would so strongly affect the efg of the bridging oxygen that a change in its PAS

is likely and thus the trends of bridging oxygen efg with Si–O–Si would be considerably different from the trends presented here. Further *ab initio* investigations on both types of situations is in progress.

Unfortunately, given the paucity of experimental  $^{17}\text{O}$  solid-state NMR data a comparison of experimental  $^{17}\text{O}$  bridging oxygen data in crystalline lithium and sodium silicates to our *ab initio* derived trends is problematic. Future experimental  $^{17}\text{O}$  NMR work in these and related systems of known structure will be invaluable in fine tuning our correlations, and improving the quality of structural information available through  $^{17}\text{O}$  solid-state NMR.

#### ACKNOWLEDGMENTS

We thank Alex Klymachyov for computational assistance. P.J.G. acknowledges support from the National Science Foundation (No. CHE-9501872) and the Donors of The Petroleum Research Fund, administered by the American Chemical Society.

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